

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/954,624
Filing Date	September 12, 2001
First Named Inventor	Dempcy, Robert O.
Art Unit	1623
Examiner Name	Michael C. Henry
Attorney Docket Number	17682A-007400US

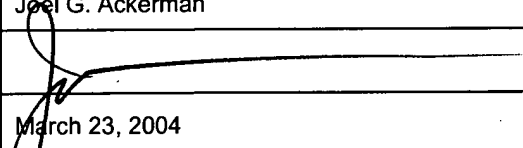
Total Number of Pages in This Submission

15

## ENCLOSURES (Check all that apply)

- |   |  |  |
|---|--|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>USPTO Transmittal Communication<br>Statement of Added Inventor Declaration<br>Consent of Assignee to Addition of Inventor and Certification of Title<br>Assignment with Recordation Cover Sheet |
| Remarks: The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.   |  |  |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	Townsend and Townsend and Crew LLP Joel G. Ackerman	Reg. No. 24,307
Signature		
Date	March 23, 2004	

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being Hand-Delivered to the Patent and Trademark Office on \_\_\_\_\_, 2004

Typed or printed name

Signature

Date

, 2004



CERTIFICATE OF HAND DELIVERY

PATENT  
Docket No.: 17682A-007400US  
Client Ref. No.: 154

I hereby certify that this correspondence is being hand delivered to  
the Commissioner for Patents, Patent and Trademark Office,  
Alexandria, VA 22313-1450, on March 30, 2004

By: Robert Cunningham  
Name:

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Robert O. Dempcy et al.

Patent No.:

Issued:

Application No.: 09/954,624

Filed: September 12, 2001

For: PROCESS FOR THE SYNTHESIS  
OF PYRAZOLOPYRIMIDINES

Examiner: Michael C. Henry

Art Unit: 1623

COMMUNICATION

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Petition and fee authorization are hereby made for the correction of inventorship in the above patent application by the addition of YEVGENIY S. BELOUSOV. This patent application is not involved in an interference. As evidenced by the attachments hereto, the error in omitting YEVGENIY S. BELOUSOV as an inventor was made without deceptive intention, and the assignee has agreed to the correction of inventorship to add YEVGENIY S. BELOUSOV.

Accompanying this Petition and Fee Authorization are the following:

1. A Declaration by the actual inventors, pursuant to 37 CFR §1.63

2. A STATEMENT OF INVENTOR TO BE ADDED, pursuant to 37 CFR 1.48(a)(1).

3. A CONSENT OF ASSIGNEE TO ADDITION OF INVENTOR AND CERTIFICATION OF TITLE, pursuant to 37 CFR 1.48(a)(4) and 37 CFR 3.73(b).

An assignment by inventor Yevgeniy S. Belousov is being submitted to the United States Patent and Trademark Office for recordation contemporaneously with this petition. A copy (not for recordation) is enclosed with this petition.

FEE AUTHORIZATION

Authorization is hereby given to charge the fee of \$130.00 to Deposit Account No. 20-1430 of the undersigned, as the fee for this petition. Authorization is further given to charge to the aforementioned account any additional fees that may be needed but were overlooked by the undersigned, or to credit to the aforementioned account any overpayment.

Respectfully submitted,

Joel G. Ackerman  
Reg. No. 24,307

TOWNSEND and TOWNSEND and CREW LLP  
Two Embarcadero Center, Eighth Floor  
San Francisco, California 94111-3834  
Tel: (415) 576-0200  
Fax: (415) 576-0300  
JA:ja

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MAR 30 2004  
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By: Robert Cunningham

Name:

PATENT  
Docket No.: 17682A-007400US  
Client Ref. No.: 154

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Robert O. Dempcy et al.

Patent No.:

Issued:

Application No.: 09/954,624

Filed: September 12, 2001

For: PROCESS FOR THE SYNTHESIS  
OF PYRAZOLOPYRIMIDINES

Examiner: Michael C. Henry

Art Unit: 1623

STATEMENT OF ADDED INVENTOR

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

The inventorship error of omitting the undersigned, YEVGENIY S. BELOUSOV,  
as an inventor in the above patent application occurred without any deceptive intention  
on the part of the undersigned.

Date: March 11, 2004

Belousov

YEVGENIY S. BELOUSOV



**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN  
APPLICATION DATA SHEET (37 CFR 1.76)**

**Title of Invention**    **PROCESS FOR THE SYNTHESIS OF PYRAZOLOPYRIMIDINES**

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
- ☒ Application No. 09/954,624, filed on September 12, 2001,
- ☒ as amended on November 5, 2003 (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

**FULL NAME OF INVENTOR(S)**

Inventor 1    Robert O. Dempcy    Date: 3/16/04

Signature: *Robert Dempcy*    Citizen of: United States

Inventor 2    A. David Adams    Date: 3-11-04

Signature: *A. David Adams*    Citizen of: United States

Inventor 3    Michael W. Reed    Date: \_\_\_\_\_

Signature: \_\_\_\_\_    Citizen of: United States

Inventor 4    Yevgeniy S. Belousov    Date: 03-11-04

Signature: *Belousov*    Citizen of: Russia

☐ Additional inventors are being named on additional form(s) attached hereto.



**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN  
APPLICATION DATA SHEET (37 CFR 1.76)**

**Title of Invention** | **PROCESS FOR THE SYNTHESIS OF PYRAZOLOPYRIMIDINES**

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- ☒ as amended on November 5, 2003 (if applicable);

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All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

**FULL NAME OF INVENTOR(S)**

Inventor 1 Robert O. Dempcy Date: \_\_\_\_\_  
Signature: \_\_\_\_\_ Citizen of: United States

Inventor 2 A. David Adams Date: \_\_\_\_\_  
Signature: \_\_\_\_\_ Citizen of: United States

Inventor 3 Michael W. Reed Date: 3/16/04  
Signature: [Signature] Citizen of: United States

Inventor 4 Yevgeniy S. Belousov Date: \_\_\_\_\_  
Signature: \_\_\_\_\_ Citizen of: Russia

☐ Additional inventors are being named on additional form(s) attached hereto.

CERTIFICATE OF HAND DELIVERY



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PATENT  
Docket No.: 17682A-007400US  
Client Ref. No.: 154

By: Robert Cunningham  
Name:

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Robert O. Dempcy et al.

Patent No.:

Issued:

Application No.: 09/954,624

Filed: September 12, 2001

For: PROCESS FOR THE SYNTHESIS  
OF PYRAZOLOPYRIMIDINES

Examiner: Michael C. Henry

Art Unit: 1623

**CONSENT OF ASSIGNEE TO  
ADDITION OF INVENTOR AND  
CERTIFICATION OF TITLE  
(37 CFR 1.48(b)(4) and 37 CFR 3.73(b))**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned, a duly authorized representative of EPOCH BIOSCIENCES, INC., assignee of the above-referenced patent application by assignment submitted to the United States Patent and Trademark Office for recordation on November 28, 2001, hereby gives the assignee's consent to the addition of YEVGENIY S. BELOUSOV as an inventor in the above patent application.

CERTIFICATION OF TITLE

The undersigned, again as duly authorized representative of the assignee, certifies that the assignee is indeed the assignee of the entire right, title and interest in the patent application identified above by virtue of the assignments referred to above. The undersigned has reviewed all the documents in the chain of title of the patent application and certifies that, to the best of the undersigned's knowledge and belief, title is in the assignee.

Signature: W. Mahoney Date: March 16, 04

Typed name: Walt Mahoney, Ph.D.

Title: Sr. Vice President, Research & Development





UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231



\*700073903A\*

MARCH 29, 2004

PTAS

TOWNSEND AND TOWNSEND AND CREW  
JOEL G. ACKERMAN  
TWO EMBARCADERO CENTER, 8TH FLOOR  
SAN FRANCISCO, CA 94111-3834

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 03/23/2004

REEL/FRAME: 014454/0265  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BELOUSOV, YEVGENIY S.

DOC DATE: 03/11/2004

ASSIGNEE:

EPOCH BIOSCIENCES, INC.  
21720 - 23RD DR., SE  
#150  
BOTHELL, WASHINGTON 98021

SERIAL NUMBER: 09954624  
PATENT NUMBER:

FILING DATE: 09/12/2001  
ISSUE DATE:

014454/0265 PAGE 2

KIMBERLY WHITE, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS